

# Collaborative P2P Streaming of Interactive Live Free Viewpoint Video

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**Abstract**—We study an interactive live streaming scenario where multiple peers pull streams of the same free viewpoint video that are synchronized in time but not necessarily in view. In free viewpoint video, each user can periodically select a virtual view between two anchor camera views for display. The virtual view is synthesized using texture and depth videos of the anchor views via depth-image-based rendering (DIBR). In general, the distortion of the virtual view increases with the distance to the anchor views, and hence it is beneficial for a peer to select the closest anchor views for synthesis. On the other hand, if peers interested in different virtual views are willing to tolerate larger distortion in using more distant anchor views, they can collectively share the access cost of common anchor views.

Given anchor view access cost and synthesized distortion of virtual views between anchor views, we study the optimization of anchor view allocation for collaborative peers. We first show that, if the network reconfiguration costs due to view-switching are negligible, the problem can be optimally and efficiently solved in polynomial time using dynamic programming. We then consider the case of non-negligible reconfiguration costs (*e.g.*, large or frequent view-switching leading to anchor-view changes). In this case, the view allocation problem becomes NP-hard. We thus present a locally optimal and centralized allocation algorithm inspired by Lloyd's algorithm in non-uniform scalar quantization. We also propose a distributed algorithm with guaranteed convergence where each peer group independently make merge-and-split decisions with a well-defined fairness criteria. The results show that depending on the problem settings, our proposed algorithms achieve respective optimal and close-to-optimal performance in terms of total cost, and substantially outperform a P2P scheme without collaborative anchor selection.

## I. INTRODUCTION

The advent of multiview imaging technologies means that videos from different viewpoints of the same 3D scene can now be captured simultaneously by a system of multiple closely spaced cameras [1]. If depth maps (per-pixel distance between camera and physical objects) from the same camera viewpoints are also available,<sup>1</sup> then virtual views can be synthesized during video playback using texture and depth maps of the closest cap-

tured camera views (*i.e.*, *anchor views*) via depth-image-based rendering (DIBR) [3]. This ability to construct and observe any virtual view is called *free viewpoint video* [4], which enables a 3D visual effect known as *motion parallax* [5]: a viewer's detected head movements trigger correspondingly shifted video views on his/her 2D display. It is well known that motion parallax is the strongest cue in human's perception of depth in a 3D scene [6], enhancing the immersive experience.

In a live free viewpoint video streaming scenario, texture and depth videos from multiple viewpoints in the same 3D scene are real-time encoded into separate streams at server before delivery to interested peers. The clients, organized in a P2P system, can choose to look at the recorded anchor views or virtual views that are arbitrarily positioned between the anchor views. Because the distortion of synthesized view tend to be larger as virtual view distance to anchor views increases [7], it is beneficial for a viewer to request anchor views that tightly "sandwich" the virtual viewpoint he wants to look at. On other hand, given that a group of local peers can share the access cost of common anchor views, peers have incentive to collaboratively select and share the same anchor views, even if doing so means that the anchor views are further away with a distortion penalty in the synthesized views. In this paper, we investigate the anchor view allocation problem for collaborative streaming of live free viewpoint video under different network settings. To the best of our knowledge, this is the first piece of work addressing such an issue for collaborative streaming of free viewpoint video.

As a peer changes his interested view  $u$  over time,  $u$  may eventually move outside the viewing range  $[v^l, v^r]$  delimited by his two current anchor views  $v^l$  and  $v^r$ . This necessitates the system to reallocate new anchor views for the peer. If such network reconfiguration costs due to peers' view-switching is negligible, we first show that the anchor view allocation problem can be efficiently and optimally solved in polynomial time using dynamic programming (DP). This is true no matter if the anchor view access cost from the server to the group of peers is

<sup>1</sup>Depth maps can be captured directly through time-of-flight (ToF) cameras [2], or indirectly through stereo-matching algorithms.

formulated as a constraint (*i.e.*, the maximum number of anchor views allocated to a peer group cannot be larger than a certain number  $B_{\max}$ ) or as a cost function (*i.e.*, each anchor view pulled from the source incurs a certain access cost  $a$ ).

On the contrary, if the network reconfiguration cost is non-negligible due to peers' view-switching, (*e.g.*, in the case of large or frequent view-switching by the peers), the problem of anchor view allocation becomes NP-hard for both formulations of anchor view access cost (as a constraint or as a cost function). We thus present a locally optimal and centralized allocation algorithm inspired by the Lloyd's algorithm in non-uniform scalar quantization [8]. Finally, we propose a distributed version of the algorithm with guaranteed convergence, where each peer group can independently makes merge-and-split decisions with a well-defined fairness criteria. The results show that our proposed algorithms achieve optimal and close-to-optimal performance respectively in terms of total cost, and substantially outperform a P2P scheme without collaborative anchor selection.

The outline of the paper is as follows. We first discuss related work in Section II. We then overview the live free viewpoint video streaming in Section III. We first formulate the anchor view allocation problem with negligible network reconfiguration cost and the corresponding optimal DP algorithm in Section IV. We then formulate our problem with reconfiguration cost in Section V and show it is NP-hard. We then describe locally optimal solutions to the problem in Section VI. Finally, we present results and conclusion in Section VII and VIII, respectively.

## II. RELATED WORK

Though much research in multiview video has been focusing on compression (*e.g.*, multiview video coding (MVC) [9]), streaming strategies and network optimization for multiview video is still a relatively unexplored and new research topic. [10] discusses an *interactive multiview video streaming* (IMVS) video-on-demand scenario, where only a single requested view per client is needed at one time during video playback as the client periodically requests view-switches. It proposes an efficient coding structure where a captured image can be encoded into multiple versions, so that the appropriate version can be transmitted depending on the currently available content in decoder's buffer, in order to reduce server transmission rate. Later, [11] leverages on the IMVS coding structure for content replication, so that suitable versions of multiview video segments can be cached in a distributed manner across cooperative network servers.

Our current work on anchor view allocation differs from the above work in that: i) we consider the more general *free* viewpoint video, where, a client can select and synthesize any intermediate virtual view between two anchor views via DIBR; and ii) we focus on the *live collaborative* streaming scenario, where anchor views can

be shared among peers that are synchronized in time but not necessarily in view.

There has been a large body of work on peer-to-peer (P2P) streaming, addressing different aspects of the problem. For example, [12], [13] study the structure and organization of streaming overlays, while the work of [14], [15] discuss the design and deployment of large-scale P2P streaming systems through measurement on real-world streaming systems. All the previous works above study single view streaming, and the results cannot be applied to live free viewpoint video streaming, where anchor-view selection is a critical and challenging issue.

There has been little work studying multiview streaming over P2P network. For example, the work of [16] proposes a scheduling algorithm that allows peers to frequently compute the scheduling of multiview segments. [17] studies achieving low view-switch delay by organizing viewers with different views together. These works essentially treat multiview video as streaming of multiple single-view videos, and it is not clear how to extend them to live free viewpoint streaming where anchor-view selection and its effect on distortion need to be considered. To the best of our knowledge, this is the first piece of work on collaborative streaming of interactive live free viewpoint video.

## III. COLLABORATIVE STREAMING MODEL

### A. Network Model

We model the free viewpoint video distribution network with two nodes:  $S$  is the server node where live video streams originate, and  $P$  is a single node representing a group of local peers with close geographical or network distance.<sup>2</sup> The connection between server  $S$  and peer group  $P$  may be modeled as a *hard* constraint; *i.e.*, the number of anchor views pulled from  $S$  by  $P$  cannot exceed  $B_{\max}$ . Alternatively, the connection may be modeled as a *soft* constraint; *i.e.*, each anchor view pulled by  $P$  incurs a cost  $a$  in the total cost function. The different connection constraints are used later in the problem formulation.

### B. Free Viewpoint Video Model

Let  $\mathcal{V} = \{1, 2, \dots, V\}$  be a discrete set of *captured viewpoints* for  $V$  equally spaced cameras in a 1D array as done in [1] and others. Each camera captures both a texture (RGB image) and depth map (per-pixel physical distances between captured objects in the 3D scene and capturing camera) at the same resolution. Texture map from an intermediate *virtual viewpoint* between any two cameras can be synthesized using texture and depth

<sup>2</sup>If the peer group is too large, sub-division into smaller groups for independent content sharing is also possible. Our current formulation can be easily extended to this case.

maps of the two camera views (*anchor views*) via a depth-image-based rendering (DIBR) technique like 3D warping [3]. Disoccluded pixels in the synthesized view—pixel locations that are occluded in the two anchor views—can be filled using a depth-based inpainting technique like [18].

More specifically, denote a virtual viewpoint by  $u$  that a peer currently requests for observation. We write  $u$  as  $u = 1 + \frac{k}{K}$ ,  $k = \{0, \dots, (V-1)K\}$ , for some large  $K$ .<sup>3</sup> In other words,  $u$  belongs to a discrete set of intermediate viewpoints between (and including) captured views 1 and  $V$ , spaced apart by integer multiples of distance  $1/K$  ( $u$  approaches a continuum as  $K$  increases). We consider that a distribution function  $q_u$  describes the fraction of peers in the group who currently request virtual view  $u$ . Any virtual view  $u$  can be synthesized using left and right anchor views denoted as  $v^l$  and  $v^r$ , respectively, where  $v^l, v^r \in \mathcal{V}$  and  $v^l \leq u \leq v^r$ . Note that  $v^l$  and  $v^r$  do not have to be the closest captured views to  $u$ . The distortion of the synthesized view varies with the choices of anchor views. Let  $D_u(v^l, v^r)$  be the distortion function of peers requesting virtual view  $u$ , which is synthesized using  $v^l, v^r$  as anchors.

1) *Monotonic Distortion model*: A reasonable assumption on distortion is monotonicity with respect to anchor view distance [7]. It is not guaranteed that distortion always decreases with the distance between reference views, but this is true in the vast majority of the settings. We hence consider a monotonic distortion model in this paper: further-away anchor view does not lead to smaller resulting synthesized view distortion:

$$\begin{aligned} D_u(v', v_u^r) &\geq D_u(v, v_u^r), \quad \forall v' < v < u \\ D_u(v_u^l, v) &\leq D_u(v_u^l, v'), \quad \forall u < v < v' \end{aligned} \quad (1)$$

### C. View-switching Model

To model the view-switching behavior of peers, we consider that a peer with current desired virtual view  $u$  can switch in the next time instant to any virtual views  $w$ 's with probability  $P_{u,w}$ , and  $\mathbf{P}$  is the view-transition probability matrix. For example, if a peer stays in the current view  $u = 1 + k/K$  with probability  $\Omega$ , and switches to any one of the two adjacent views with equal probability  $(1 - \Omega)/2$ , we have the following transition probabilities:

$$P_{1+k/K, w} = \begin{cases} \Omega & \text{if } w = 1 + k/K \\ (1 - \Omega)/2 & \text{if } w = 1 + (k \pm 1)/K \\ 0 & \text{o.w.} \end{cases} \quad (2)$$

## IV. FORMULATION I: NO RECONFIGURATION COST

In this section, we consider the case where the reconfiguration cost due to peers' anchor view changes is negligible, e.g., peers tend to switch views infrequently,

<sup>3</sup>Though we consider here equally spaced virtual views for ease of exposition, our analysis and algorithms can be easily generalized to uneven virtual view spacing as well.

and hence the distribution network does not need to be reconfigured often. We now formulate the anchor view allocation problem formally as the *interactive free-viewpoint live streaming* (IFLS) problem.

### A. Optimization and System Variables

We first define the optimization variables, which are the same for all our formulations of the problem. Let  $\mathcal{V}' \subseteq \mathcal{V}$  be a *purchased set* of captured views selected by the peer group to serve as anchor views to synthesize virtual views requested. A peer of virtual view  $u$  selects left and right anchor views,  $v_u^l$  and  $v_u^r$  from the purchased set  $\mathcal{V}'$  to synthesize its desired virtual view  $u$ . We consider the following anchor view selection constraint:

$$v_u^l \leq u \leq v_u^r, \quad v_u^l, v_u^r \in \mathcal{V}' \subseteq \mathcal{V}, \quad \forall u \quad (3)$$

In words, Equation (3) states that peer of virtual view  $u$  must select from  $\mathcal{V}'$  the left anchor view  $v_u^l$  to the left of  $u$  (i.e.,  $v_u^l \leq u$ ) and right anchor view  $v_u^r$  to the right of  $u$  (i.e.,  $u \leq v_u^r$ ). The selected anchor views  $v_u^l$  and  $v_u^r$  will induce synthesized distortion  $D_u(v^l, v^r)$ , as discussed in Section III-B. These are our variables to be optimized.

There is an access cost to purchase the set  $\mathcal{V}'$  of anchor views by the peer group  $P$ . If there is a hard connection constraint (or cost budget), we have

$$|\mathcal{V}'| \leq B_{\max} \quad (4)$$

One may alternatively consider a soft connection constraint, where the total access cost  $A_{\text{total}}$  for the peer group is proportional to the number of anchor views purchased, i.e.,  $A_{\text{total}} = a |\mathcal{V}'|$ . For now, we are only concerned with the access cost of camera views in the purchased set  $\mathcal{V}'$ ; the question of how the cost should be fairly distributed to each peer is deferred to Section VI-C.

If the connection is modeled as a hard constraint, the objective of the IFLS problem is to select a subset  $\mathcal{V}'$  and anchor views  $v_u^l, v_u^r \in \mathcal{V}'$  for each virtual view  $u$ , so as to minimize the aggregate distortion of all peers of all virtual views  $u$ 's, i.e.,

$$\min_{\mathcal{V}' \subseteq \mathcal{V}} \sum_u q_u D_u(v_u^l, v_u^r), \quad (5)$$

subject to Constraints (3) and (4). We label this combinatorial optimization problem as *IFLS-H*.

Alternatively, if the connection is modeled as a soft constraint, the objective becomes the combination of total distortion of all peers of all virtual views  $u$ 's *plus* the total access cost,

$$\min_{\mathcal{V}' \subseteq \mathcal{V}} \sum_u q_u D_u(v_u^l, v_u^r) + A_{\text{total}} \quad (6)$$

subject to Constraint (3). We label this problem as *IFLS-S*.

### B. Algorithm I: DP solution

Both IFLS-H and IFLS-S can be solved optimally in polynomial time via DP. We show here how IFLS-S is solved; algorithm for IFLS-H follows similar steps in a straight-forward manner, and hence is omitted.

Define  $\varphi(v^l, u^l, u^r, v^r)$  as the minimum cost for all peers interested in virtual views  $u \in [u^l, u^r]$ , where  $v^l$  and  $v^r$  are the nearest left and right anchor views that have already been purchased. The optimal solution of IFLS-S can be found by a call to  $\varphi(v_i^l, u_i^l, u_i^r, v_i^r)$ , where  $u_i^l$  and  $u_i^r$  are the leftmost and rightmost virtual views requested by the peer group, and  $v_i^l$  and  $v_i^r$  are the corresponding camera views just to the left and right of them, *i.e.*,

$$\begin{aligned} v_i^l &= \lfloor u_i^l \rfloor, & u_i^l &= \arg \min \{u\} \quad \text{s.t. } q_u > 0; \\ v_i^r &= \lceil u_i^r \rceil, & u_i^r &= \arg \max \{u\} \quad \text{s.t. } q_u > 0. \end{aligned} \quad (7)$$

Given above,  $\varphi()$  can be recursively calculated as

$$\begin{aligned} \varphi(v^l, u^l, u^r, v^r) &= \min \left\{ \sum_{u^l \leq u \leq u^r} q_u d_u(v^l, v^r), \min_{v^l < v < v^r} [a + \right. \\ &\quad \left. \varphi(v^l, u^l, u^{v^-}, v) + \varphi(v, u^{v^+}, u^r, v^r)] \right\}, \end{aligned} \quad (8)$$

where  $u^{v^-}$  is the virtual view of a peer to the left and nearest to new anchor view  $v$  ( $u^{v^-} \leq v$ ), and  $u^{v^+}$  is the virtual view of a peer to the right and nearest to  $v$  ( $v < u^{v^+}$ ). The loop invariant of Equation (8) is  $v^l \leq u^l \leq u^r \leq v^r$ .

In words, Equation (8) states that  $\varphi()$  is the smaller of:

- i) Sum of synthesized distortion of virtual views  $u$ 's,  $u^l \leq u \leq u^r$ , given that no more anchor views will be purchased (and hence  $v^l$  and  $v^r$  are the best anchor views for synthesis of views  $u \in [u^l, u^r]$ ).
- ii) Cost of one more anchor view  $v$ ,  $v^l < v < v^r$ , which is the access cost  $a$  plus the recursive cost  $\varphi()$  using two virtual-view ranges, given by  $[u^l, u^{v^-}]$  and  $[u^{v^+}, u^r]$ , that divide the original range  $[u^l, u^r]$ .

The complexity of the solution given by Equation (8) can be analyzed as follows. Each time Equation (8) is solved for arguments  $v^l, u^l, u^r$ , and  $v^r$ , they can be stored in entry  $[v^l][u^l][u^r][v^r]$  of a DP table  $\Phi$  so that any subsequent repeated sub-problem can be simply looked up. Each computation of Equation (8) takes  $O(V)$  steps, and the size of the table is  $O(V^4)$ . This results in run-time complexity of  $O(V^5)$ .

### V. FORMULATION II: RECONFIGURATION COST

As the video is played back, a peer may switch his observation viewpoint from a virtual view  $u$  to a new view  $u'$ , where  $u'$  may fall outside the range  $[v_u^l, v_u^r]$  spanned by the anchor views  $v_u^l$  and  $v_u^r$ . The network hence needs to be reconfigured to supply the peer with new anchors. If the reconfiguration cost is non-negligible, the peer group would tend to choose anchors  $v_u^l$  and  $v_u^r$  that are further apart, so that the likelihood of the virtual view switching outside the range  $[v_u^l, v_u^r]$  is low. In this section, we formulate the anchor-view allocation problem with

reconfiguration costs, termed *free-viewpoint live streaming with view-switching* (FLSV).

#### A. Reconfiguration Cost

We define the *reconfiguration cost*  $S_u(v_u^l, v_u^r)$  as the probability that a peer requires new anchor views during the next  $\tau$  view-switches, given the current virtual view  $u$  and the anchor views  $v_u^l$  and  $v_u^r$ .  $S_u$  may be computed as follows. We first define a sub-matrix  $\mathbf{P}(v_u^l, v_u^r)$  that contains only entries  $P_{w,z}$ 's, where  $w, z \in [v_u^l, v_u^r]$ , defined in Equation (2). Note that unlike  $\mathbf{P}$ , the sum of the entries in a row in  $\mathbf{P}(v_u^l, v_u^r)$  does not need to add up to 1. We can write  $S_u$  as a simple sum:

$$S_u(v_u^l, v_u^r) = 1 - \sum_w P_{u,w}^\tau(v_u^l, v_u^r), \quad (9)$$

where  $P_{u,w}^\tau(v_u^l, v_u^r)$  is the entry  $[u][w]$  in matrix  $\mathbf{P}^\tau(v_u^l, v_u^r) = \prod_{t=1}^\tau \mathbf{P}(v_u^l, v_u^r)$ , the  $\tau$ -step transition probability. In words, Equation (9) states that the reconfiguration cost  $S_u$  is one minus the probability that the peer stays within the range  $[v_u^l, v_u^r]$  for all  $\tau$  view switches.

#### B. Objective Function

We first consider the server-peer cost as a hard constraint, and formulate the *FLSV-H* optimization problem. The objective is to select a subset  $\mathcal{V}'$  of camera views and to select anchor views  $v_u^l, v_u^r$  for each virtual view  $u$  within  $\mathcal{V}'$ , in order to minimize the total distortion of all peers plus a reconfiguration cost weighted by  $\mu$ , *i.e.*,

$$\min \sum_u q_u (D_u(v^l, v^r) + \mu S_u(v^l, v^r)), \quad (10)$$

subject to Constraints (3) and (4).

We next consider the connection as a soft constraint. The objective then becomes the sum of the distortion, reconfiguration cost, *plus* total access cost, *i.e.*,

$$\min_{\mathcal{V}' \subseteq \mathcal{V}} \sum_u q_u (D_u(v^l, v^r) + \mu S_u(v^l, v^r)) + A_{total}, \quad (11)$$

subject to Constraint (3). This problem is *FLSV-S*.

#### C. NP-Hardness Proof

Both FLSV-H and FLSV-S are NP-hard. We present the proof of FLSV-H here; the proof of FLSV-S follows similar argument and is discussed in the Appendix.

We show that the well known NP-complete *Minimum Cover* (MC) problem is polynomial-time reducible to a special case of FLSV-H. In MC, a collection  $\mathcal{C}$  of subsets of a finite item set  $\mathcal{S}$  is given. The decision problem is: does  $\mathcal{C}$  contain a *cover* for  $\mathcal{S}$  of size at most  $\kappa$ , *i.e.*, a subset  $\mathcal{C}' \subseteq \mathcal{C}$  where  $|\mathcal{C}'| \leq \kappa$ , such that every item in  $\mathcal{S}$  belongs to at least one subset of  $\mathcal{C}'$ ?

Consider a special case of FLSV-H where in the optimal solution, all peers use the leftmost camera view 1 as their left anchor view. This is the case if the synthesized distortion for each peer of view  $u$  is a local minimum

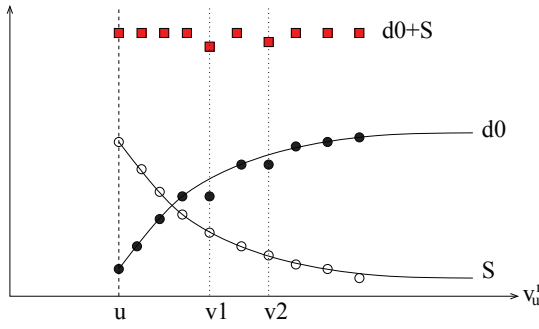


Fig. 1. Cost with different right anchor.

whenever view 1 is used as left anchor, i.e.,  $D_u(1, v_u^r) \leq D_u(v, v_u^r), \forall v, v_u^r$ . Hence all peers will share view 1 as left anchor view, and need to select only right anchor view to minimize the aggregate cost in Equation (10).

We first map items in set  $\mathcal{S}$  to consecutive virtual views  $u$ 's (each with  $q_u = 1/|\mathcal{S}|$ ) just to the right of leftmost captured view 1. We map subsets in collection  $\mathcal{C}$  to captured views  $v$ 's to the right of the virtual views  $u$ 's. We next construct reconfiguration cost  $S_u(1, v_u^r)$  by assuming a view-switching probability  $\Omega > 0$  in (1) and  $\tau = 1$ , resulting in a decreasing  $S_u(1, v_u^r)$  as function of  $v_u^r$  for all virtual views  $u$ 's, as shown in Figure 1.

We first set distortion  $D_u(1, v_u^r)$  for peers of virtual views  $u$ 's such that the aggregate cost is a constant  $\alpha$ , i.e.,  $D_u(1, v_u^r) + S_u(1, v_u^r) = \alpha$ . Then for each item  $s_i$  in subset  $c_j$ , we reset distortion  $D_u(1, v_u^r)$  (of virtual view  $u$  corresponding to item  $s_i$  and of anchor view  $v_u^r$  corresponding to set  $c_j$ ) to distortion  $D_u(1, v_u^r - 1)$  of anchor view  $v_u^r - 1$ . Note that the distortion function remains monotonically non-decreasing.

Figure 1 shows an example of the aggregate cost for peer of virtual view  $u$ , where  $d_0$  is the distortion and  $S$  is the reconfiguration cost. Note that  $d_0 + S = \alpha$  except for  $v_u^r = v_1$  and  $v_u^r = v_2$ . If an optimal solution to FLSV-H with constraint  $V_M = \kappa + 1$  has a total cost less than  $|\mathcal{S}|\alpha$ , then the selected camera views will correspond to  $\mathcal{C}'$  in MC. Hence MC is a special case of FLSV-H.  $\square$

## VI. ALGORITHM II: HEURISTICS

In this section, we present heuristic algorithms to address the anchor view selection problem with reconfiguration cost. We first present a centralized and locally optimal algorithm based on Lloyd's algorithm [8] in non-uniform scalar quantization. Then we present a distributed algorithm with guaranteed convergence, followed by the fair access cost allocation mechanism.

### A. Local Optimum with Lloyd's Algorithm

We present here a low-complexity centralized optimization algorithm that converges to a locally optimal solution for FLSV. We first observe that for a given subset  $\mathcal{V}' \subseteq \mathcal{V}$  of camera views with a fixed access cost  $A_{total}$ , a peer of virtual view  $u$  can *independently* select  $v_u^l$  and  $v_u^r$

from  $\mathcal{V}'$  in order to minimize its own sum of distortion and reconfiguration cost given by  $D_u(v^l, v^r) + \mu S_u(v^l, v^r)$ . This potentially leads to a better global solution. In other words, a solution cannot be globally optimal if a peer of a view  $u$  can lower his own sum of distortion and reconfiguration cost by choosing a different left or right anchor views from the same purchased set  $\mathcal{V}'$ . We formalize this necessary condition for global optimality in the following lemma.

**Lemma 1:** If  $\mathcal{V}'$ ,  $v_u^l$ 's and  $v_u^r$ 's are a set of optimal variables, then peer(s) of any virtual view  $u$  cannot switch from a selected left anchor view  $v = v_u^l$  to another anchor view  $v' \in \mathcal{V}'$  and lower the overall cost.  $\square$

The above Lemma also holds for switching of right anchor view to lower overall cost.

While the first lemma is concerned with switching of anchor views within a fixed subset  $\mathcal{V}'$  of camera views, we can similarly construct a second Lemma concerning a selected camera view  $v \in \mathcal{V}'$  being replaced by another camera view  $v' \notin \mathcal{V}'$ .

**Lemma 2:** If  $\mathcal{V}'$ ,  $v_u^l$ 's and  $v_u^r$ 's are a set of optimal variables, then one cannot replace a selected camera view  $v \in \mathcal{V}'$  with an unselected camera view  $v' \notin \mathcal{V}'$ , so that peers of views  $u$ 's that currently select camera view  $v$  as anchor, i.e.  $v_u^l = v$  or  $v_u^r = v$ , switch to  $v'$  as anchor, and lower overall cost.  $\square$

These two Lemmas are analogous to the two necessary conditions in optimizing non-uniform scalar quantization (SQ). SQ is the problem of quantizing a large number of samples in  $R^1$  space into  $k$  Voronoi regions for compact representation, so that only  $\lceil \log k \rceil$  bits are required to represent a sample with minimal distortion. The first necessary optimal condition for SQ is that each sample can freely select a Voronoi region to represent itself, one whose centroid has the minimum distance to itself (minimum distortion). This is similar to our first Lemma. In the second optimal condition, each Voronoi region can freely select a centroid that minimizes the sum of distance to all samples in the region. This is similar to our second Lemma.

Due to the similarity of our problem to SQ, we can deploy a modified version of the famed Lloyd's algorithm to solve our problem. We call our algorithm the *centralized peer grouping* (CPG) algorithm.

For FLSV-H, we first pull the leftmost and rightmost camera views from the server, and then a total number of  $B_{max} - 2$  camera views are randomly pulled in between. For each peer we calculate the optimal anchor views (chosen from  $B_{max}$  camera views) that minimizes the sum of its distortion and reconfiguration cost. Similar to the Lloyd's algorithm, we iteratively adjust the positions of  $B_{max} - 2$  camera views to reduce the total costs of all peers in the group. In each iteration, we go through each one of  $B_{max} - 2$  camera views, calculate the new total costs if we shift the camera view one step towards its left and right. If the new total cost is lower than the original, we

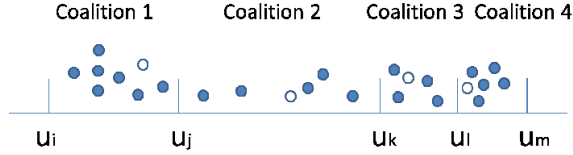


Fig. 2. Coalition of peers.

substitute the camera view with the one to its left (or right). The algorithm stops when the total cost of peers cannot be further reduced. It is guaranteed to converge since the total cost only decreases in each iteration.

For FLSV-S, we run the above procedure  $V - 1$  times with  $B_{max} = 2$  to  $V$ , and then choose the optimal  $\mathcal{V}$  that gives us the minimum total cost due to distortion, reconfiguration and access.

### B. Distributed Heuristic

The centralized algorithm presented above is able to find a nearly optimal FLSV solution by assigning anchor views to each peer. The solution is suitable when there is a central controller, and the network is not large or highly dynamic (with peer arrivals, many view switchings and departures). In this section, we present a simple, adaptive and distributed heuristic for collaborative sharing of anchor views, or equivalently for constructing the overlay P2P network, which scales well to large network with peer churns. We call this distributed heuristic the *distributed peer grouping* (DPG) algorithm.

In a peer group, peers watching the same or adjacent virtual views are organized into “coalitions”. Figure 2 shows an example of how the peer coalitions are formed, where  $u_i, u_j, \dots, u_m$  are virtual views. Peers watching virtual views between  $u_i$  and  $u_j$  are organized into a coalition, i.e., Coalition 1. All peers that belong to the same coalition share anchor views and thus access costs. There is a leader peer (marked in white) in each coalition, which keeps track of the number of peers watching each virtual view and of the total cost of the whole coalition. It periodically exchanges the cost information with both neighboring coalitions on each side. Two neighboring coalitions may merge into a new bigger coalition, and a coalition may also split into two coalitions if the overall cost can be reduced. We discuss algorithms for peer joins, coalition merge and split, peer leaves and view switching in the following.

**Peer Join:** When a new peer  $i$  arrives, it first contacts a *Rendezvous Point* (RP) that forwards it to the peer group that  $i$  belongs to. This could be done with an IP address lookup. If there is an existing coalition  $g$  that covers the virtual view peer  $i$  requests in the peer group, RP connects  $i$  with the leader node of the coalition  $C$ . The node  $i$  joins coalition  $C$  and starts to pull anchor views from other peers in the coalition. The leader peer

of  $C$  updates the cost and information of the coalition. However, if the virtual view requested by peer  $i$  is not in the range of any coalition, a new coalition will be created, and  $i$  becomes the leader of the coalition. It pulls the anchor views from the streaming server that minimizes its own costs (distortion and reconfiguration cost).

**Coalition merge:** The coalition structure is adaptive to peer churns, which keeps the P2P network optimized. The leader peers of each coalition periodically exchange information with neighboring leaders. Let  $L_1, L_2$  be the cost for  $C_1$  and  $C_2$  respectively, and  $L_M$  be the optimal cost from the result of the CPG algorithm run on  $C_1 \cup C_2$  if  $C_1$  and  $C_2$  merge and cooperate. If  $L_M < L_1 + L_2$ , the two coalitions  $C_1$  and  $C_2$  are merged. Let  $V_M$  be the optimal set of anchor views returned by the CPG algorithm. Each peer  $i$  in the merged coalition adapts to new anchor views  $v_i^{ls}$  and  $v_i^{rs}$  that give the minimum cost ( $v_i^{ls}, v_i^{rs} \in V_M$ ). The leader who requested the merge becomes the new leader of the merged coalition.

**Coalition split:** For a big coalition  $C_M$ , the leader periodically examines whether splitting into two coalitions leads to lower cost. Let  $u_m$  be a virtual view separating  $C_M$  into two coalitions  $C_L, C_R$ . For each different  $u_m$ , the leader runs the CPG algorithm on both  $C_L$  and  $C_R$ . If the combination of optimal costs is smaller than  $L_m$ , then  $C_M$  is split into  $C_L$  and  $C_R$ , and a new leader will be randomly selected for the newly created coalition.

**Peer leave:** When a peer  $i$  is about to leave, all content sharing between  $i$  and its neighbors is stopped, and the leader node updates the cost of the coalition. If the leader node leaves, a new leader is randomly chosen.

**View switch:** A peer  $i$  could switch the virtual view it currently watches in the middle of a streaming session. If the new virtual view is still within the range of the coalition, peer  $i$  can still pull anchor views from other peers and synthesize the new view. There will be no change of the overlay structure. However, if the new virtual view goes out of the range of the coalition, the peer will leave the current coalition and join (or create) a new coalition. It follows the same process as in the situation where peers join or leave the system.

### C. Fair cost allocation within a coalition

We propose a mechanism to fairly distribute the access costs to each peer for the DPG algorithm described in section VI-B. From the above discussion, cooperation enables peers watching adjacent views to share the anchor views and thus the access cost. It helps to reduce the total cost of all users. As peers in P2P networks are selfish and rational, an important issue in our live free viewpoint video streaming problem is the fair allocation of the cost among peers in a coalition, so that our solution does not only minimize the total cost of the entire P2P network, but also helps each user to lower its own cost. As such, no user is willing to deviate from the proposed solution, and the constructed overlay P2P network is stable.



Coalitional game theory provides an ideal tool to provide fair rules for cost reduction via cooperation in our free-viewpoint live streaming problem [19]. Consider a coalition  $C = \{1, 2, \dots, n\}$  with  $n$  peers who watch neighboring views and share the anchor views and the access cost. Let  $S \subseteq C$  be a subgroup of users in  $C$  watching nearby views, where  $L(S)$  is the total cost of peers in  $S$  if they decide to cooperate, with  $L$  being the cost function defined in (11). An *allocation* vector  $\mathbf{x} = [x_1, x_2, \dots, x_n]$  divides the total cost  $L(C)$  among its  $n$  members, where  $x_i$  is the cost (including view distortion, access cost and reconfiguration cost) assigned to user  $i$ .<sup>4</sup>

Given an allocation  $\mathbf{x}$ , define the *excess* of a subgroup  $S \subseteq C$  (with respect to  $\mathbf{x}$ ) as  $e(S, \mathbf{x}) = L(S) - \sum_{i \in S} x_i$ , which is the extra cost incurred to  $S$  if they deviate from the coalition  $C$  and the allocation  $\mathbf{x}$  but form a coalition  $S$  themselves. If  $e(S, \mathbf{x}) > 0$ , the subgroup  $S$  has no incentive to deviate from the coalition  $C$ . For an allocation, if its excesses are all non-negative, then users in  $C$  have an incentive to stay in  $C$ , and our goal is to find such stable coalitions and allocations.

Finding such stable allocations is often difficult, and a well known fair solution is the *nucleolus* [19], [20]. The nucleolus always exists and is unique. It maximizes the excesses in the non-decreasing order, or equivalently, minimizes peers' dissatisfaction in the non-increasing order. Moreover, it is one of the stable allocations if they exist. The nucleolus is defined as follows. Given an allocation  $\mathbf{x}$ , let  $\Phi(\mathbf{x})$  be the vector of all excesses  $\{e(S, \mathbf{x}), \emptyset \neq S \subseteq C\}$  sorted in the non-decreasing order. The nucleolus  $\eta$  is the unique allocation that lexicographically maximizes  $\Phi$  over all allocations, that is,  $\Phi(\eta) >_{lex} \Phi(\mathbf{x}), \forall \mathbf{x} \neq \eta$ .<sup>5</sup>

To compute the nucleolus, we follow the above definition and solve a sequence of linear programs as follows [20]. We first solve the following problem

$$(LP_1) \quad \max \quad \epsilon \\ \sum_{i \in C} x_i = L(C), \\ \sum_{i \in S} x_i \leq L(S) - \epsilon, \quad \forall S \neq \emptyset, S \subsetneq C, \quad (12)$$

which adds constraints on the allocation vectors  $\mathbf{x}$  to maximize the smallest excess. Let  $\epsilon_1$  be the optimal solution of  $(LP_1)$ , which is the maximal smallest excess, and let  $S_1$  be the collection of all subgroups whose excesses are equal to  $\epsilon_1$ . We then solve

$$(LP_2) \quad \max \quad \epsilon$$

<sup>4</sup>Note that from Section III-B and Equation (9), users' view distortion and reconfiguration costs are fixed once the set of anchor views is selected, and only their access costs can be adjusted to achieve fairness among peers in a coalition. In our work, given the desired allocation  $\mathbf{x}$ , we adjust users' access costs to ensure that user  $i$ 's total cost is  $x_i$ .

<sup>5</sup>A vector  $\mathbf{a}$  is said to be lexicographically larger than vector  $\mathbf{b}$  ( $\mathbf{a} >_{lex} \mathbf{b}$ ) if in the first component that they differ, that component of  $\mathbf{a}$  is larger than that of  $\mathbf{b}$ .

$$\begin{aligned} \sum_{i \in C} x_i &= L(C), \\ \sum_{i \in S} x_i &= L(S) - \epsilon_1, \quad \forall S \in S_1, \\ \sum_{i \in S} x_i &\leq L(S) - \epsilon, \quad \text{otherwise,} \end{aligned} \quad (13)$$

which maximizes the second smallest excess. We continue this way until there is only one allocation  $\mathbf{x}$  that satisfies all the constraints in the optimal solution, and that allocation is the nucleolus.

In DPG, we apply the above procedure to compute the nucleolus for each coalition found by the algorithm.

## VII. EXPERIMENTATION

In this section we present illustrative simulation results. In simulations, we assume the distortion function  $D_u$  has the following form:

$$D_u(v^l, v^r) = \gamma e^{\alpha_u(v^r - v^l)} \left( e^{\beta_u \cdot \min(u - v^l, v^r - u)} - 1 \right). \quad (14)$$

Note that if virtual view  $u$  is actually one of the anchor views, then the distortion  $D_u$  is zero. The rate at which the distortion increases with the distance between anchor views, depends on the parameters  $\alpha_u$  and  $\beta_u$ .

Unless otherwise stated, we use the following baseline parameters in our simulation: number of captured views: 21, number of virtual views: 200, number of peers: 10000,  $\omega = 0.4$ ,  $\tau = 6$ ,  $a = 5$ . We assume that the distribution of peers watching each virtual view follows a normal distribution. We have also run our simulations on different peer distributions. The results of those simulations are qualitatively the same as what is presented here, and hence are not shown for the sake of brevity.

### A. Results for Negligible Reconfiguration Cost

We compare the DP-based optimal solution with a simple P2P approach for solving the IFLS problem. In the latter simple P2P approach, peers independently choose the anchor views that minimize their own distortion. The access costs of each anchor view are shared by all users that request it. There is no collaboration on anchor selections among peers.

Figure 3 shows the total cost (distortion plus access costs) for the peers as a function of the price of camera views. It is shown that our CPG algorithm gives much better results than the simple P2P approach, especially when the price is high. This is because, in the DP algorithm, the peers can collaboratively select and share the same anchor views to reduce the access cost, with a small price in distortion penalty. Therefore, fewer captured views are pulled from the server, and the total cost is minimized.

### B. Results for Non-Negligible Reconfiguration Cost

We carried out simulation to evaluate the performance of our proposed CPG and DPG algorithms with the optimal solution (*Optimal*), and the simple P2P approach.

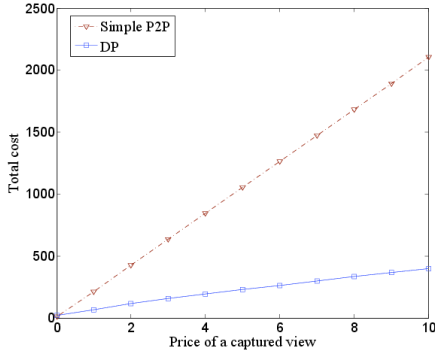


Fig. 3. Total cost versus price of captured views.

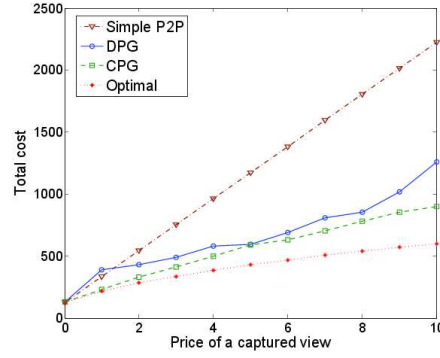


Fig. 4. Total cost versus price of captured views.

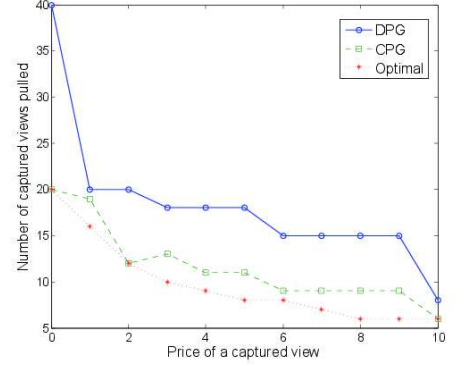


Fig. 5. Number of captured views pulled.

The optimal solution is obtained through exhaustive search. The simple P2P approach is similar to the one we used in IFLS except that peers choose anchor views to minimize their own total cost.

Figure 4 shows the total cost of all peers versus the price of a captured view. It is shown in the figure that the total cost increases with the price of a camera view. This is because a higher view price leads to a higher access cost, and peers tend to share the same anchor views with others so they can share the cost of common anchor views from the streaming server. This, in turn, increases other cost components, *i.e.*, distortion and re-configuration costs. From the figure, we see that CPG performs very close to the global optimal solution. The anchor views can successfully adapt to good positions to minimize the total costs of all peers. DPG is also very efficient in reducing the total cost, especially when the price of a captured view is high. DPG does not outperform *simple P2P* when the view price is low due to the lack of global information.

Figure 5 shows the total number of views pulled from the streaming server as a function of access cost of an anchor view. The number drops with the increase in the price of access cost. When requesting a captured view from the streaming server becomes expensive, in order to reduce their access costs, peers tend to seek more cooperation by using the same anchor views and sharing the access cost. Therefore, the total number of camera views pulled from the streaming server becomes smaller. In DPG, the total number of views pulled could be higher than the total number of camera views since peers only share the access costs within the same coalition, and a captured view could be pulled multiple times by peers from different coalitions.

Figure 6 shows the number of coalitions formed by *Heuristics* algorithm. The number of coalitions drops with the price of a captured view. When the anchor views are expensive, neighboring coalitions are more likely to merge into a bigger one so that the access costs could be shared by more peers. The *Heuristics* can efficiently re-arrange the topology to minimize the total

cost when the view prices changes.

Figure 7 shows the total cost of all peers versus peer population. The total cost increases with the number of peers. Simple P2P performs the worst. It has very high total cost even when the number of peers is low. This is due to the lack of collaboration in peer anchor selections. DPG and CPG achieve close-to-optimal performance. When there are fewer peers in the system, they tend to use same anchor views to reduce access cost, with a penalty in other cost components. When the peer population increases, each peer can choose better anchor views that leads to a lower distortion and reconfiguration cost, since there will be more neighbors to share the access costs.

Figure 8 shows the cost components of CPG algorithm. With the increase of view price, access cost becomes the major component of the total cost. Distortion and re-configuration costs also increase because peers compromise to suboptimal anchor views (in terms of distortion and reconfiguration) so that their access costs can be shared with a larger crowd. The cost components of DPG are qualitatively the same as CPG, and hence are not shown for brevity.

## VIII. CONCLUSION

In this paper we study the design and optimization of interactive P2P streaming of live free viewpoint video. In free viewpoint live streaming, peers could select different virtual viewpoints, which are synthesized using texture and depth videos of the anchor views captured by multiple cameras. The access cost of common anchor views are collectively shared by peers with a price of higher distortion. We formulate two problems, IFLS with negligible reconfiguration cost, and FLSV with none-negligible reconfiguration cost. Then we provide a DP-based optimal solution for IFLS, and heuristic algorithms for FLSV. The simulation results show that our proposed algorithms achieve respective optimal and close-to-optimal performance in terms of total cost, and substantially outperform a P2P scheme without collaborative anchor selection.



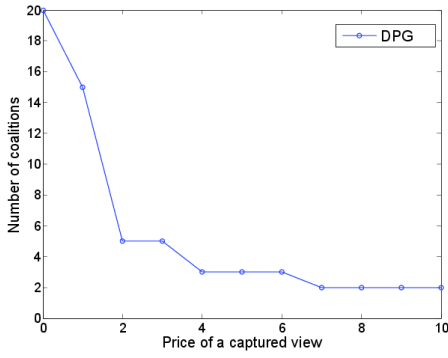


Fig. 6. Number of coalitions formed.

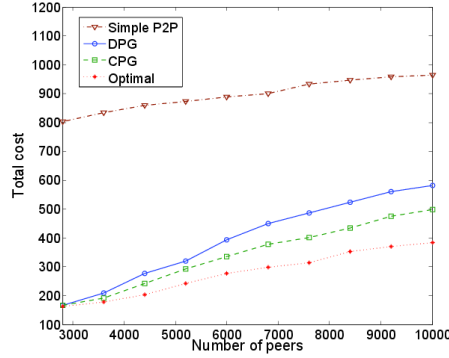


Fig. 7. Total cost versus number of peers.

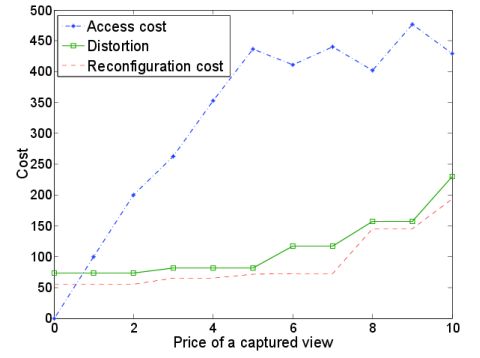


Fig. 8. Cost component versus anchor price.

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## APPENDIX

We prove that FLSV-S is also NP-hard, by reducing the NP-complete MC problem to a special case of FLSV-S. Following similar construction in the proof for FLSV-H, we first map items in set  $S$  to virtual views  $u$ 's (each with  $q_u = 1/|S|$ ) to the right of leftmost captured view 1, and map subsets in collection  $C$  to captured views  $v$ 's to the right of the virtual views. Consider again the case where the optimal solution has all peers sharing view 1 as their left anchor.

We construct reconfiguration cost  $S_u(1, v'_u)$  as done in the FLSV-H proof. Next, we identify the smallest  $S_u(1, v)$  for all  $u$ 's and  $v$ 's for which  $u$  and  $v$  correspond to an item and a subset in original MC problem, respectively. Let  $\delta = S_u(1, v-1) - S_u(1, v)$ . We then construct  $D_u(1, v)$  to be  $1 - S_u(1, v) - \delta$  if the subset corresponding to  $v$  contains the item corresponding to  $u$ , and  $1 - S_u(1, v)$  otherwise. That means that a virtual view covered by a camera view  $v$  will have a decrease of  $\delta$  in distortion. Note that by definition of  $\delta$ ,  $D_u(1, v)$  is monotonically non-decreasing. Finally, we define the access cost  $a = \delta/(|C| + 1)$ , which means that purchasing all the captured views  $v$ 's is cheaper than paying for  $\delta$  for a virtual view  $u$  uncovered by a captured view  $v$ .

We now claim that, if the optimal solution to FLSV-S has access cost smaller than  $\kappa\delta/(|C|+1)$ , then the corresponding MC decision problem is positive, and vice versa. The reason is the following. Under the above construction, FLSV-S can always find a solution that covers all virtual views  $u$ 's (items in MC) with camera views  $v$ 's. If the minimum cost solution requires  $\kappa$  or fewer captured views, then the corresponding subsets will cover all items in  $C$  in MC.